# Confine to create: ER-endosome contacts foster autophagy initiation

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Received date: September 02, 2025 Accepted date: October 06, 2025

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#### **Abstract**

Autophagy is a conserved cellular process in which eukaryotic cells degrade and recycle intracellular material via a dedicated trafficking toward lysosomes. Under starvation, autophagy is initiated by the formation of a double-membrane organelle called the autophagosome, which originates from the closure and maturation of a transient membrane structure known as the phagophore. The precise membrane source of the phagophore and the mechanisms underlying its biogenesis remain incompletely understood. In our recent study by Da Graça *et al.*, we show that starvation dynamically mobilizes endoplasmic reticulum (ER)-endosome contacts to initiate phagophore formation. Our data reveal that the ER-endosome interface facilitates local calcium release and phase transition, driving the mobilization of nanovesicles, which then fuse via a Rab3-dependent mechanism to assemble the phagophore. These findings highlight a central role for ER-endosome communication in the cellular response to nutritional stress and in the regulation of autophagy.

**Keywords:** Autophagy, Endosomes, Endoplasmic reticulum (ER), Phagophore, Contact-sites, Calcium, Rab GTPases

## Autophagosome Biogenesis: State of the Art and Open Questions on Its Origin

Macroautophagy (hereafter referred to as autophagy) is a tightly regulated catabolic pathway activated during stress conditions such as nutrient deprivation (i.e., starvation), thereby supporting cellular homeostasis and survival [1,2]. This pathway mediates the clearance of cytoplasmic deleterious material, including protein aggregates and dysfunctional organelles. Autophagy proceeds through the *de novo* assembly of a double-membrane vesicle termed the autophagosome, which engulfs cytoplasmic cargoes and delivers them to lysosomes, where degradation occurs and the resulting products are recycled [3].

Autophagy depends on a cascade of signaling events and membrane remodeling, orchestrated by a set of autophagy-related (ATG) proteins that initiate the formation of the phagophore, the precursor of the autophagosome [4]. Under starvation, the Unc-51-like kinase 1 (ULK1) kinase complex relocates to specialized areas of the endoplasmic reticulum (ER), where it activates the phosphatidylinositol 3-kinase catalytic subunit type 3 (PI3KC3) enzymatic complex, leading to the production of phosphatidylinositol-3-phosphate (PI3P), a lipid crucial for autophagy initiation [5,6]. This process first gives rise to the omegasome, a PI3P-enriched ER subdomain that serves as the platform for phagophore nucleation [7]. At the omegasome, PI3P recruits downstream ATG factors that drive LC3 lipidation and cargo recognition, thereby promoting phagophore elongation and its maturation into a complete autophagosome [4,7]. Notably, multiple stages of autophagosome assembly have been associated with local phase separation and ER-mediated Ca<sup>2+</sup> release to cluster and activate initiation complexes [8,9]. Moreover, lipid transfer between membranes is critical for phagophore/autophagosome expansion [10,11], indicating that phagophore formation requires a specialized cytoplasmic microenvironment that spatially and temporally concentrates the necessary molecular machinery.

The role of ATG proteins in autophagy regulation is well established, but the precise origin of the autophagosomal membrane and the molecular events driving *de novo* phagophore formation remain incompletely defined. Although the omegasome is central to phagophore nucleation, growing evidence highlights ER contacts with mitochondria, the Golgi, and the plasma membrane in this process [12], emphasizing the need for further investigation into the function of ER-membrane contact sites during phagophore biogenesis.

While essential autophagic regulators like ATG9A and ATG16L1 are directly linked to endosomal trafficking, key GTPase such as endosome-located Rab5 and Rab11 also play a crucial role in autophagy [13–17]. Endosomal proteins are thus clearly implicated in autophagy, but whether endosomal membranes directly contribute to phagophore formation and their mode of interaction with the ER remain under debate.

## **Findings of the Published Work**

Our recent study investigated the dynamic relationship between endosomal and ER membranes during starvation, and the importance of ER-endosome contact sites (EERCS) in autophagosome biogenesis [18]. The major question was to decipher whether dynamic EERCS could participate in phagophore biogenesis sequence in response to nutrient deprivation. Using a broad range of analytical techniques, including live cell imaging and biochemical purification of EERCS, we first showed that early (Rab5+) and recycling (Rab11+) endosomes successively bind to specialized regions of the ER within 15 minutes and 1 hour of nutrient deprivation, respectively. This sequential tethering highlights a dynamic interaction between endosomes and the ER during starvation. Employing an unbiased, machine learning-driven approach to analyze imaging data, we quantified triple proximity events involving early/recycling endosomes, the ER, and pre-autophagic/autophagic markers. Our findings revealed that EERCS dictate the choice of phagophore biogenesis sites at the ER, and that the nature of endosomal membranes engaged within EERCS adapts with time. Moreover, artificial stabilization of EERCS, using rapalog-induced dimerization of FRB-FKBP [19], shows alteration of autophagic processes, indicating that dynamic spatiotemporal modulation of ER-endosomes crosstalk is necessary to properly regulate phagophore biogenesis.

Having established the dynamic nature of EERCS in response to starvation, we next sought to characterize the molecular machinery that facilitates these contacts and their function. To further investigate their role during phagophore biogenesis, we established an ER-endosome proximity biotinylation protocol (split-TurboID) [20], using Rab5 and Rab11 as endosomal markers and vacuole membrane protein 1 (VMP1) as an ER marker, based on our observation that VMP1 associates with endosomal membranes during starvation. Split-TurboID combined with mass spectrometry identified a shared set of proteins present in both early (Rab5+) and recycling (Rab11+) endosomes associated EERCS. This common contactome includes the ER-resident protein Kinectin-1 (KTN1), the ER Ca2+ channels inositol 1,4,5-trisphosphate receptor, type 1 (ITPR1) and inositol 1,4,5-trisphosphate receptor, type 3 (ITPR3), Ca2+ sensors stromal interaction molecule (STIM1) and CDGSH iron sulfur domain-containing protein 2 (CISD2), the ER-exit site protein Sec16A, and RAB3 GTPase-activating protein catalytic subunit 1/2 (RAB3GAP1/2). Together, these findings suggest a unified molecular framework coordinating both EERCS waves during autophagy.

Consistent with this, we found that KTN1—known to interact with PI3P on endosomes—is required for EERCS mobilization under starvation. We also showed that localized ER Ca²+ release via ITPR1/3 at EERCS sites serves as a key trigger for autophagy initiation. These results reveal a conserved ER-endosome signaling axis that primes cells for autophagy through tightly regulated Ca²+ dynamics and spatial protein coordination. Further, we demonstrated that endosomes release a small amount of Ca²+ through a two-pore channel 1 (TPC1)-dependent mechanism, which in turn activates robust ITPR1/3-mediated Ca²+ release from the ER lumen. This highlights the critical role of ER-endosome communication within EERCS during the cellular response to starvation.

To explore how  $Ca^{2+}$  promotes phagophore formation at ER-endosome interface, we manipulated  $Ca^{2+}$  release by dedicated knockdowns and pharmacological agents. We found that EERCS supports a  $Ca^{2+}$ -dependent phase transition at ER-exit sites (ERES) marked by Sec16A. Notably, the canonical function of ERES in coat protein complex II (COP-II) vesicle formation is repurposed when associated with EERCS and the autophagic machinery under starvation. We thus propose that, within EERCS, endosomes tether to ERES to support phagophore biogenesis, via a local  $Ca^{2+}$  release and phase transition within the ER-endosome interspace.

Finally, we show that the confined environment within EERCS regulates phagophore formation by locally mobilizing the small GTPase Rab3a and its regulators RAB3GAP1/2. Under starvation, Rab3a relocates to omegasomes, forming puncta that overlap with EERCS, Ca<sup>2+</sup> release hotspots, and early autophagic markers (LC3, Double FYVE Domain Containing Protein 1 [DFCP1], FAK family kinase-interacting protein of 200 kDa, [FIP200]). Ultrastructure analyses reveal that Rab3a knockdown disrupts ERendosome contacts, while loss of RAB3GAPs causes accumulation of dense vesicular material near endosomes, consistent with local stalled vesicle turnover. These nano-vesicles, likely Rab3a-positive, may provide membranes for phagophore initiation. Supporting this, Rab3a colocalizes with ATG9A and Rab5 at EERCS during starvation. Functionally, RAB3GAPs depletion causes excessive LC3 accumulation at EERCS, whereas Rab3a loss prevents LC3 recruitment. Altogether, our data support a Rab3a/RAB3GAPsdependent mechanism in which starvation-induced Ca2+ release and phase transition regulate nano-vesicle biogenesis and fusion, contributing to the emergence of the nascent phagophore membrane [18].

# Unsolved Questions, Future Directions and Limitations

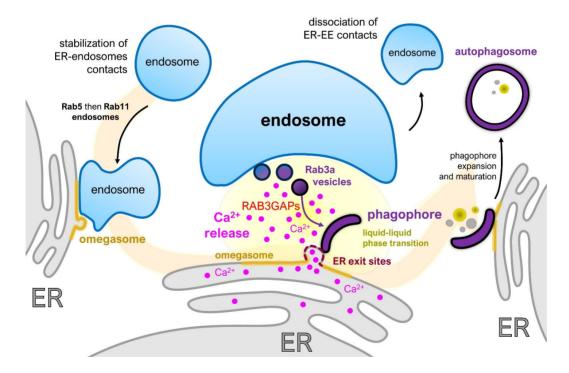
The origin of autophagosomal membranes has been debated for decades. The ER via omegasomes has been long considered central [21], but endosomes, particularly Rab11 recycling endosomes, are also implicated in this process [22]. Our study identifies starvation-induced EERCS as hubs of phagophore initiation, mediated by the ER protein KTN1 binding to endosomal PI3P [19]. Within EERCS, endosomes tether to ERES/Sec16A positive structures, where Ca<sup>2+</sup> release promotes protein phase separation and Rab3a-dependent fusion of endosome-derived nanovesicles, seeding proto-phagophores inside the local environment generated by ER-endosomes contacts.

Since we previously showed that endosomal membranes were rewired by starvation in a (SNX1/2) sorting nexins dependent manner [23], our current findings place endosomes as direct contributors to phagophore biogenesis, consistent with prior links between endosomal remodeling and autophagy [16,24-26]. EERCS assemble rapidly after starvation, paralleling other ER contact sites with mitochondria and plasma membrane [27,28], suggesting organelle specificity may vary with stress, context and timing of response. Thus, our data support a convergence of the "ER" and "endosome" hypotheses of autophagosome origin. Rab5/Rab11 EERCS generate local Ca<sup>2+</sup> bursts that trigger phase transitions at ERES, consistent with roles of ITPR-mediated Ca2+ release and sarcoendoplasmic reticulum calcium ATPase (SERCA) reuptake in phagophore maturation [9,29,30]. We further propose that endosomal derived nanovesicles, associated with ATG9 [18], fuse through a Rab3a-RAB3GAP1/2 system, consistent with previous reports showing ATG9-Rab3 colocalization [31] and recent descriptions of ATG9 vesicle subtypes [32].

In summary (see Figure 1), our work highlights EERCS as confined, reversible microenvironment that integrate ER and endosomal dynamics to initiate phagophore formation, emphasizing the importance of organelle interactions and Ca<sup>2+</sup> signaling in the spatial and temporal control of autophagy. Although amino acid deprivation is a potent trigger of autophagy, the contribution of ER-endosome contacts under alternative stress conditions remains to be further investigated. This is particularly relevant for cargo-specific

autophagy, such as ER-phagy or mitophagy, as well as for acute cellular challenges, including mechanical forces, osmotic shock, or hypoxia. It also remains unclear whether additional ER contact sites act in parallel with ER-endosome contacts to support phagophore initiation. Additionally, while our identification of the Rab3/RAB3GAPs machinery in phagophore assembly provides a valuable insight, the complex dynamics underlying nanovesicle biogenesis and fusion during autophagy initiation remain to be further characterized. These processes warrant deeper and more systematic investigation, which may be further elucidated by ongoing research into ATG9 vesicle mobilization during the early stages of autophagy. Despite these open questions, our findings highlight the importance of ER-endosome communication in stress adaptation and provide a framework for future investigations into the early stages of autophagy.

Given the central role of autophagy regulation in human pathologies such as neurodegenerative disorders and cancer, our findings highlight ER-endosome communication as a promising new focus in curative biology research. This perspective is particularly relevant in cancer, where disruption of autophagic flux is a well-established hallmark of tumorigenesis [33]. In receptor tyrosine kinase (RTK)-driven malignancies, such as acute myeloid leukemia [34–36], alterations of the endosomal network that governs RTK signaling are likely to affect ER-endosome contacts as well, thereby perturbing autophagosome biogenesis. Deciphering the dynamics of these ER-endosome contact sites in cancer could therefore open novel avenues for therapeutic intervention.



**Figure 1. ER-endosomes contacts regulate phagophore biogenesis.** During acute starvation, EERCS are stabilized at omegasomes in two successive waves, first engaging Rab5-positive and later Rab11-positive endosomes. These endosomes dock onto remodeled ER exit sites, where they initiate a modest endosomal Ca<sup>2+</sup> release, which triggers a larger ER-derived Ca<sup>2+</sup> efflux inside the ER-endosome interspace. The confined geometry of EERCS restricts Ca<sup>2+</sup> diffusion, creating a locally concentrated signal that promotes protein phase separation. Under these conditions, the small GTPase Rab3A is recruited to EERCS and drives the generation of nanovesicles. There, the Ca<sup>2+</sup> activates RAB3GAP1 and RAB3GAP2, which facilitates the fusion of the Rab3A nanovesicles into a proto-phagophore. Once the latter is formed, endosomes disengage from the ER, enabling phagophore expansion and progression toward autophagosome maturation.

Cell Signal. 2025;3(1):158-162.

# **Acknowledgments**

The authors received financial support from INSERM, CNRS, Université-Paris Cité, Agence Nationale pour la Recherche (ANR-17-CE140030-02, ANR-17-CE13-0015-003, ANR 22-CE14-0019 and ANR 18-CE14-0006 and Fondation pour la Recherche Médicale (FRM) "labellisation equipe" (to EM). JDG is a recipient of a fellowship from the French Ministry of Research and a 4th year PhD FRM scholarship (FDT202304016558).

## **Conflict of Interest**

The authors declare no competing financial interests.

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